

Patent
In re application of SAGO et al.

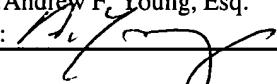
0022-3479

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : **SAGO et al.**
Serial No. : **10/662,669**
Filing Date : **September 15, 2003**
Title : **OPTICAL CONNECTOR WITH MEMORY FUNCTION**
Examiner : **Unassigned**
Art Unit : **2874**
Docket No. : **0022-3479**
Customer No. : **28752**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a prepaid postage envelope addressed to: Hon. Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Date : February 6, 2004
By : Andrew F. Young, Esq.
Signature: 

Mail Stop - **PCT LEGAL OFFICE - FEE**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450 USA

**PETITION TO
CONVERT FILING BASIS FROM 35 U.S.C. § 111 TO 35 U.S.C. §371
AND
ISSUE A FILING RECEIPT UNDER 35 UCS §371**

Applicant hereby petitions to change the filing basis of the above-noted application from a 35 U.S.C. §111 to a 35 U.S.C. §371 basis (national phase entry) and to, in due course, receive a formal 35 U.S.C. §371 Filing Receipt for the record. It is noted that this is a non-standard petition and so the basic petition fee of \$130 is enclosed.

Pursuant to Applicant's conversation with both the PCT help desk, and upon the guidance of Ms. Carol Bidwell, (Supv. PCT Div) on December 10, 2003 at approximately 10:53am, Applicants note their appreciation for the past assistance in this matter and provide the following details for your review and consideration.

12/10/2004 SWAJARRO 00000054 10362869

US-281450

\$30.00 0?

Patent

0022-3479

In re application of SAGO et al.

1. On September 15, 2003, Applicants filed the instant application claiming priority to JP Appn. No. 005642/2002 (filed January 15, 2002) and PCT/JP03/00082 (filed January 8, 2003). The accompanying transmittal letter mistakenly noted a filing under 35 U.S.C. 111. This was clearly a typographical error and was without deceptive intent. The application was filed via express mail and includes a certificate of express mailing under 37 C.F.R. §1.10 and was a valid filing. The filing was complete and sufficient to establish a filing date. The filing included our check for the required amount (\$750) and authorization to debit the deposit account for any additional fees due. A copy of the transmittal letter is enclosed as **Exhibit A**.
2. On September 16, 2003, Applicants filed (via facsimile) a completed form PTO-1390 (Transmittal letter under 35 U.S.C. 371. (Applicants had realized the typographical error the previous evening after postal hours). The PTO-1390 was transmitted to the attention of the OIPE Supervisor Valerie Kinard with a cover letter. It is noted, that the signed PTO-1390 form includes authorization to debit the deposit account for any fee. A copy of the Sept. 16, 2003 PTO-1390 and the Fax Receipt (including the cover letter) to Ms. Kinard are enclosed as **Exhibits B and C**.
3. On October 24, 2003, a follow-up copy of the same PTO-1390 and cover letter were retransmitted to Ms. Kinard after a follow-up phone confirmed no receipt or delivery. A copy of the follow-up transmission receipt is enclosed as **Exhibit D**.
4. On December 9, 2003, the undersigned (Reg. No. 44,001) spoke with Ms. Kinard who again kindly noted lack of receipt for the facsimile transmission. Ms. Kinard requested we resubmit the requested change in filing basis and supporting documents via facsimile. The undersigned attorney prepared and transmitted the requested documents (noted as **Exhibits A-D**)

5. On December 9, 2003 Applicants also received the official Filing Receipt and a Notice of Missing Parts in the above noted matter and noted the same to Ms. Kinard in their transmission. These documents are enclosed as **Exhibits E and F**.
6. On December 10, 2003, at 9:34am the undersigned again spoke with Ms. Kinard who kindly confirmed complete receipt of the December 9th, 2003 transmission and suggested the following course of action:
 - 1st - Respond fully to the Notice of Missing Parts (Submit the signed Declaration and Notice of Missing Parts with any requisite fee)
 - 2nd - Contact Ms. Carol Bidwell at the PCT division and request further assistance.
7. On December 10, 2003, the undersigned contacted Ms. Bidwell who confirmed that the above suggested course of action was correct. Ms. Bidwell kindly offered to provide any necessary assistance and indicated that the correct course of action (after responding to the Notice of Missing Parts) was to prepare and file the enclosed petition.
8. On February 3, 2004 M. Greenspan (Reg. No. 25,680) responded to the Notice of Missing parts and submitted the Signed inventor Declaration and requisite fee. A copy of the submission is enclosed as **Exhibit G**. As a consequence, the application is now believed to be in condition to proceed to examination but for the instant petition to change the filing basis and issue a new filing receipt.

In sum, Applicants respectfully petition for a change in filing basis from 35 U.S.C. §111 to 35 U.S.C. 371. Applicants also respectfully request the issuance of an official Filing Receipt under 35 U.S.C. §371 as official proof on-the-record of the transfer in filing basis.

Patent

0022-3479

In re application of SAGO et al.

Applicants recognize that there will be a difference in filing fee charged between § 111 and § 371 and have previously provided authorization to debit our deposit account no. 10-0100. (See **Exhibit B** and others)

While Applicants believe that they have answered in advance all expected questions they recognize that open questions may remain under the instant circumstances. As a consequence, Applicants respectfully request that any questions be directed verbally to the below at (914) 723-4300 Ext. 111 for immediate and rapid resolution.

Applicant hereby petitions that any and all extensions of time of the term necessary to render this response timely be granted. COSTS FOR SUCH EXTENSION(S) AND/OR ANY OTHER FEE DUE WITH THIS FEE DUE WITH THIS PAPER THAT ARE NOT FULLY COVERED BY AN ENCLOSED CHECK MAY BE CHARGED TO DEPOSIT ACCOUNT #10-0100.

Date:

3/6/04

Lackenbach Siegel LLP
One Chase Road
Scarsdale, NY 10583
Telephone: 914 723 4300

AY\

0022-3479.petition to change filing status.wpd

Respectfully submitted,

LACKENBACH SIEGEL LLP
Attorneys for Applicant(s)

By:



ANDREW YOUNG
Reg. No.: 44,001

Attached:

Transmittal Letter - signed

Petition Fee - \$130.00

Transmittal Letter for 35 U.S.C. 111 (1-page) - Exhibit A

Transmittal Letter for 35 U.S.C. 371 (2-pages) - Exhibit B

Facsimile Receipt (w/cover letter) faxed on Sept. 16, 2003 and on Oct. 24, 2003 - Exhibits C & D
Filing Receipt (1-page) - Exhibit E

Notice of Missing Parts (1-page) - Exhibit F

Submission of Missing Parts & Copy of Signed Declaration (4-pages) - Exhibit G

Return Receipt Post Card



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/662,669	09/15/2003	2874	750	0022-3479	4	8	1

CONFIRMATION NO. 1862

LACKENBACH SIEGEL
 One Chase Road
 Scarsdale, NY 10583

FILING RECEIPT



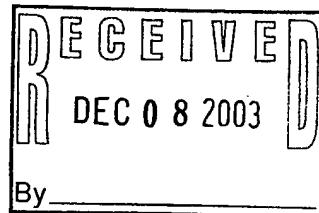
OC000000011430005

Date Mailed: 12/05/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Masuyuki Sago, Tokyo-To, JAPAN;
 Masanori Enomoto, Tokyo-To, JAPAN;



Domestic Priority data as claimed by applicant

EXHIBIT E

Foreign Applications

JAPAN 005642/2002 01/15/2002
 JAPAN PCT/JP03/00082 01/08/2003

If Required, Foreign Filing License Granted: 12/04/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Optical connector with memory function

MDC DOCKET

MaKee

Preliminary Class

Lackenbach
 INTELLECTUAL PROPERTY
 ATTORNEYS SINCE 1923
Siegel, LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

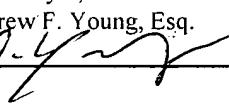
Inventor	:SAGO et al.
Serial No.	:10/662,669
Filing Date	:September 15, 2003
Title	:OPTICAL CONNECTOR WITH MEMORY FUNCTION
Examiner	:Unassigned
Art Unit	:2875
Docket No.	:0022-3479
Customer No.	:28752

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a prepaid postage envelope addressed to: Hon. Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Date: February 6, 2004

By: Andrew F. Young, Esq.

Signature: 

Mail Stop - **PCT LEGAL OFFICE - FEE**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450 USA

TRANSMITTAL LETTER

Dear Sir:

Please find enclosed the following items:

1. Petition to Convert Filing Basis from 35 U.S.C. §111 to 35 U.S.C. §371 and issue a Filing Receipt under 35 U.S.C. §371 to establish an on-the-record receipt.
2. Transmittal Letter - signed
3. Petition Fee - \$130.00, Our check no. 106416.
4. Transmittal Letter for 35 U.S.C. 111 (1-page) - Exhibit A
5. Transmittal Letter for 35 U.S.C. 371 (2-pages) - Exhibit B
6. Facsimile Receipt (w/cover letter) faxed on Sept. 16, 2003 and on Oct. 24, 2003 - Exhibits C & D
7. Filing Receipt (1-page) - Exhibit E
8. Notice of Missing Parts (1-page) - Exhibit F
9. Submission of Missing Parts & Copy of Signed Declaration (4-pages) - Exhibit G
10. Return Receipt Post Card - noting the above items.

Respectfully submitted,
LACKENBACH SIEGEL LLP
 Attorneys for Applicant(s)

By: 

ANDREW YOUNG
 Reg. No.: 44,001

Enclosures: as noted

OAI Documents\Ohtsuka International Patent Office\2004\AFY\0022-3479.petition transmittal ltr.wpd

ASSISTANT COMMISSIONER OF PATENTS
WASHINGTON, D.C. 20231

EXHIBIT A

Sir: TRANSMITTED HEREWITH FOR FILING IS A
U.S. PATENT APPLICATION FOR:

Inventor(s): Masuyuki SAGO and Masanori ENOMOTO

Title: Optical Connector with Memory Function

Attorney Docket No.: 0022-3479

Enclosed are:

[X] Patent application: Specification, claims, Abstract

[X] 4 sheets of drawings

X FORMAL INFORMAL

[] An Assignment of the Invention and Assignment Recordation cover sheet [to follow]

[X] A Declaration and Power of Attorney [signed Declaration to follow]

[X] Priority: Japanese Pat. Appln. No. 005642/2002 filed Jan. 15, 2002; also PCT/JP03/00082 filed Jan. 8, 2003.

[] Enclosed [X] Not enclosed.

[X] Preliminary Amendment.

[] Applicant is a [X] Large Entity - [] Small Entity.

The filing fee has been calculated as shown below:

FOR	NO. FILED	NO. EXTRA
Basic Fee		
Total Claims		
Indep Claims		
<input type="checkbox"/> Multiple Dependent Claim Presented		

SMALL ENTITY	
RATE	FEES
	\$
	\$
	\$
	\$
TOTAL	\$

LARGE ENTITY	
RATE	FEES
	\$ 750.00
\$	\$
\$	\$
\$	\$
\$	\$
TOTAL	\$ 750.00

- [] Please charge my Deposit Account No. 10-0100 in the amount of \$.
A duplicate copy of this sheet is enclosed.
- [X] Our check is enclosed for the total amount of \$750.00.
- [X] The Commissioner is hereby authorized to charge payment of the fees associated with this communication and during the pendency of this application, such as an extension or issue fee if mistakenly not paid or insufficient, and/or any other fee due but not paid by check, etc., or credit any overpayment to Deposit Account No. 10-0100. A duplicate copy of this sheet is enclosed.

Dated: September 15, 2003

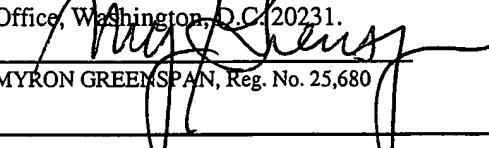
One Chase Road
Scarsdale, NY 10583
(914) 723-4300

Enclosed for filing under X 35 USC 111 or 35 USC 371

Certificate of Mailing By "Express Mail"

"Express Mail" Mailing Label No. EV157 098 755

US Date of Deposit: September 15, 2003. I hereby certify that this paper/fee is being deposited with the U.S. Postal Service as "Express Mail Post Office to Addressee" under 37 CFR §1.10 on the date indicated above and is addressed to the Director of Patents, U.S. Patent Office, Washington, D.C. 20231.


MYRON GREENSPAN, Reg. No. 25,680

LACKENBACH SIEGEL LLP

BY: 

MYRON GREENSPAN, Reg. No. 25,680

0022-3479

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO. <i>PCT/JP03/00082</i>	INTERNATIONAL FILING DATE <i>Jan. 8, 2003</i>	PRIORITY DATE CLAIMED <i>Jan. 15, 2002</i>
TITLE OF INVENTION <i>Optical Connector with Memory Function</i>		
APPLICANT(S) FOR DO/EO/US <i>Masuyuki SAGO and Masanori EDOMOTO</i>		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- The US has been elected (Article 31).
- A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - is attached hereto (required only if not communicated by the International Bureau).
 - has been communicated by the International Bureau.
 - is not required, as the application was filed in the United States Receiving Office (RO/US).
- An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - is attached hereto.
 - has been previously submitted under 35 U.S.C. 154(d)(4).
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - are attached hereto (required only if not communicated by the International Bureau).
 - have been communicated by the International Bureau.
 - have not been made; however, the time limit for making such amendments has NOT expired.
 - have not been made and will not be made.
- An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). *(unsigned - signed Declaration on 40 form
3/20/04)*
- An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- A preliminary amendment.
- An Application Data Sheet under 37 CFR 1.76.
- A substitute specification.
- A power of attorney and/or change of address letter.
- A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
- A second copy of the published international application under 35 U.S.C. 154(d)(4).
- A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- Other items or information:

EXHIBIT B

21. The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1060.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$900.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$750.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$720.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 900.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	11 - 20 =		x \$18.00	\$ _____
Independent claims	2 - 3 =		x \$84.00	\$ _____
MULTIPLE DEPENDENT CLAIM(S) (if applicable)	0	+ \$280.00		\$ _____
TOTAL OF ABOVE CALCULATIONS =				\$ 1,030.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ _____
SUBTOTAL =				\$ 1,030.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ _____
TOTAL NATIONAL FEE =				\$ 1,030.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ _____
TOTAL FEES ENCLOSED =				\$ 1,030.00
				Amount to be refunded: \$ _____
				charged: \$ _____

- a. A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 10-0106. A duplicate copy of this sheet is enclosed.
- d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

LACKENBACH SIEGEL, LLP
ONE CHASE ROAD
SCARSDALE, NY 10583
ATTN: MYRON GREENSPAN, ESC
DATED: September 16, 2003


SIGNATURE
MYRON GREENSPAN
NAME
25,680
REGISTRATION NUMBER

MODE = MEMORY TRANSMISSION

START=SEP-16 14:18

END=SEP-16 14:21

FILE NO.=360

STN NO.	COMM.	ONE-TOUCH/ ABBR NO.	STATION NAME/TEL NO.	PAGES	DURATION
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-LACKENBACH SIEGEL LLP -

***** -914 723 4301 - ***** - *****

LACKENBACH SIEGEL

One Chase Road
Scarsdale, New York 10583 U.S.A.

Telephone: (914) 723-4300
Facsimile: (914) 723-4301
e-mail: mgreen span@lsmag.com

-- URGENT --**FACSIMILE COVER SHEET**

DATE: September 16, 2003 **FACSIMILE NO.:** 703 308 7749
TO: OIPE Supervisor Valerie Kinard
 Office of Initial Patent Examination, U.S. Patent Office
FROM: Myron Greenspan **NO. OF PAGES:** 5
RE: New PCT Nat'l Phase filing in the U.S. for SAGO and ENOMOTO, "Optical
 Connector with Memory Function" - Our Docket No.: 0022-3479
 Based on PCT/JP03/00083 filed Jan. 8, 2003; priority: Jan. 15, 2002

Dear Ms. Kinard:

As per our conversation earlier this afternoon, we are faxing you herewith the following

- (1) The Transmittal Letter that accompanied our filing of the above referenced patent application yesterday, September 15, 2003. In the upper right corner of same is the signed and dated Certificate of Mailing by "Express Mail."
- (2) Copy of the Express Mail Receipt, indicating that this application was sent by Express Mail and accepted by the U.S. Post Office on September 15, 2003.
- (3) A copy of Form PTO-1390, "Transmittal Letter to the U.S. Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371," which is the form we should have used in filing this application. We have completed and signed this form.

As you know, at this point we need your help in matching the above attached materials with the material filed by Express Mail yesterday, on the 15th. As soon as you have all the materials together, please let me know, and we will overnight you the original signed transmittal letter along with a check for \$280.00 (to cover the difference between the 35 USC 111 filing fee and the 35 USC 371 filing fee). The application can then be sent to "Box PCT" for processing.

Thank you very much for your assistance in this matter.

Very truly yours,

Myron Greenspan

EXHIBIT C

MG/as

MODE = MEMORY TRANSMISSION

START=OCT-24 12:49

END=OCT-24 12:51

FILE NO.=795

STN NO.	COMM. NO.	ONE-TOUCH/ ABBR NO.	STATION NAME/TEL NO.
001	OK	8	17033087749

PAGES	DURATION
006/006	00:02:07

-LACKENBACH SIEGEL LLP -

***** -914 723 4301 - ***** - *****

LACKENBACH SIEGEL

One Chase Road
Scarsdale, New York 10583 U.S.A.

Telephone: (914) 723-4300
Facsimile: (914) 723-4301
e-mail: mgreen span@lsmag.com

-- URGENT --**FACSIMILE COVER SHEET**

DATE: September 16, 2003 **FACSIMILE NO.:** 703 308 7749
TO: OIPE Supervisor Valerie Kinard
 Office of Initial Patent Examination, U.S. Patent Office
FROM: Myron Greenspan **NO. OF PAGES:** 5
RE: New PCT Nat'l Phase filing in the U.S. for SAGO and ENOMOTO, "Optical Connector with Memory Function" – Our Docket No.: 0022-3479
 Based on PCT/JP03/00083 filed Jan. 8, 2003; priority: Jan. 15, 2002

Dear Ms. Kinard:

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- (3) A copy of Form PTO-1390, "Transmittal Letter to the U.S. Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371," which is the form we should have used in filing this application. We have completed and signed this form.

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Very truly yours,

Myron Greenspan

EXHIBIT D

MG/as



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/662,669	09/15/2003	Masuyuki Sago	0022-3479

CONFIRMATION NO. 1862

FORMALITIES LETTER



OC000000011430006

Date Mailed: 12/05/2003

LACKENBACH SIEGEL
 One Chase Road
 Scarsdale, NY 10583

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

EXHIBIT F

- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

MDC DOCKET

12/05/2003

A copy of this notice MUST be returned with the reply.

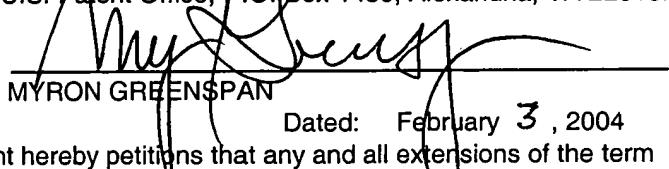
R. Plurdy
 Customer Service Center

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

Mailstop Missing Parts, Commissioner of Patents,
U.S. Patent Office, P.O. Box 1450, Alexandria, VA 22313.



MYRON GREENSPAN

Dated: February 3, 2004

Applicant hereby petitions that any and all extensions of the term necessary to render this response timely be granted. Costs for such extension(s) and or any other fee due with this paper, not fully covered by an enclosed check may be charged to Deposit Account #10-0100.

In re the U.S. Patent Application of

Inventor: Sago et al.

Examiner:

Serial No.: 10/662,669

Art Unit:

Filing Date: September 15, 2003

Docket No.: 0022-3479

For: Optical Connection with Memory Function

Mailstop Missing Parts
Commissioner of Patents, U.S. Patent Office
P.O. Box 1450
Alexandria, VA 22313-1450

EXHIBIT G

SUBMISSION OF MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed December 5, 2003, applicant herewith encloses a Declaration and Power of Attorney signed by the two inventors in this application, along with a check in the amount of \$130.00 in payment of the surcharge for late submission of the signed Declaration. In the event that

U.S. Patent Application of Sago et al.
Serial No.: 10/662,669

this amount is insufficient, please charge any remainder due to our account no. 10-0100.

REMARKS

A signed Declaration and Power of Attorney is submitted herewith, with a check, to comply with the late submission requirements of the Notice to File Missing Parts in this application.

This application is a National Phase application of PCT/JP03/00082, which is cited as part of the foreign priority on the Declaration. Due to some errors and confusion, the application has been mistakenly processed under 35 U.S.C. Section 111. Our office is in the process of filing a Petition to correct this problem.

With the filing of the signed Declaration and Power of Attorney and appropriate fee it is believed that this application is now in condition for examination and search.

Dated: February 3 , 2003

Respectfully submitted,

Lackenbach Siegel LLP
One Chase Road
Scarsdale, NY 10583
Telephone: 914 723 4300
MG/as

LACKENBACH SIEGEL LLP
Attorneys for Applicant(s)

By:

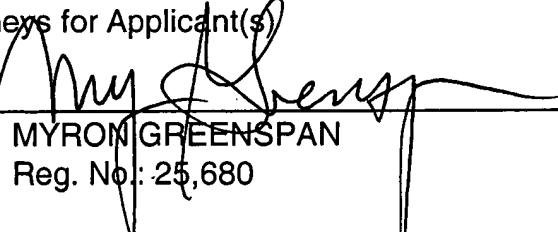

MYRON GREENSPAN
Reg. No.: 25,680

EXHIBIT G

**UNITED STATES -- PATENT
DECLARATION FOR PATENT APPLICATION**

Attorney's Docket No.: 0022-3479

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a design patent is sought on the invention entitled Optical Connector with Memory Function, which

(check one) _____ is attached hereto.

X was filed on September 15, 2003, as

Application Serial No.: 10/662,669,

and was amended on _____ (*if applicable*).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Appln. No.	Country	Date Filed	Priority Claimed
005642/2002	JAPAN	January 15, 2002	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
PCT/JP03/00082	PCT	January 8, 2003	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
			YES <input type="checkbox"/> NO <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Appln. Serial No.	Filing Date	Status: Patented, Pending, Abandoned
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned

EXHIBIT G

POWER OF ATTORNEY

I hereby appoint the following attorney(s) and/or agent(s) to prosecute the application entitled entitled Optical Connector with Memory Function, and to transact all business in the Patent and Trademark Office connected therewith: HOWARD N. ARONSON, Reg. #27,302; MYRON GREENSPAN, Reg. #25,680; MARVIN FELDMAN, Reg. #25,797; HENRY A. MARZULLO, JR., Reg. #20,910; J. HAROLD NISSEN, Reg. #17,283; THOMAS BLANKINSHIP, Reg. #39,909; and ANDREW F. YOUNG, Reg. No. 44,001.

Address all telephone calls to *Myron Greenspan*, at telephone number (914) 723-4300, or to the attorney executing the last document; address all correspondence to LACKENBACH SIEGEL at One Chase Road, Scarsdale, NY10583 U.S.A.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of First or Sole Inventor Masuyuki SAGO	Citizenship JAPAN
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City (Zip) - Tokyo-To	City (Zip)
State or Country -- JAPAN	State or Country
Date <i>Jan. 26, 2004</i>	Signature <i>Masuyuki Sago</i>
Full Name of Second Joint Inventor Masanori ENOMOTO	Citizenship
RESIDENCE Address - Street - 8-13, Takanawa 3-Chome, Minato-Ku	POST OFFICE Address - Street (same as residence)
City (Zip) — Tokyo-To	City (Zip)
State or Country - JAPAN	State or Country
Date <i>Jan. 26, 2004</i>	Signature <i>Masanori Enomoto</i>

Additional Inventors are being named on separately numbered sheets attached hereto.

EXHIBIT G